## INDIANA STATE ETHICS COMMISSION



## ETHICS DISCLOSURE STATEMENT CONFLICTS OF INTEREST — DECISIONS AND VOTING State Form 55860 (R / 10-15) OFFICE OF THE INSPECTOR GENERAL IC 4-2-6-9

FEB 1 9 2021



**FILED** 

In accordance with IC 4-2-6-9, you must file days after the conduct that gives rise to the agency appointing authority and ethics office General's website.	conflict. You must also include	de a copy o	of the notification provi	ded to your	
Name (last) Pierson	Name <i>(first)</i> Sara		Name <i>(middle)</i> Jean		
Name of office or agency		Job title			
Indiana Department of Environmental Management  Address of office (number and street)		Sr Environmental Mgr Sup 3 - Compliance Section Chief City ZIP code			
100 North Senate Avenue		Indianapo	lis	46204-2251	
Office telephone number	Office e-mail address (required)				
( 317 ) 234-7452  Describe the conflict of interest:	spierson@idem.in.gov				
I am getting a job to work for Global Env	ironmental Consulting (GEC	C), which i	nas a potential for co	nflict of interest.	
since IDEM has a contract with them for	software/services. I am not	tinvolved	with the current IDE	M GEC contract,	
and will be screened from interaction with	them while in my position a	t IDEM.	*******		
If approved, I plan to leave the state on March 19, 2021.					
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Describe the screen established by your ethics officer: (Attach additional pages as needed.)	
See attached screening protocol.	
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AFFIRMATION	
	great to the best of your
Your signature below affirms that your disclosures on this form are true, complete, and colknowledge and belief. In addition to this form, you have attached a copy of your written di	
appointing authority and ethics officer.	boloda, o to your agoney
Signature of state officer, employee or special state appointee	Date signed (month, day, year)
Signature of state officer, employee of special state appointee	2/19/2021
Printed full name of state officer, employee or special state appointee	2,10,22
Sara Jean Pierson	
FOR ETHICS OFFICER USE ONLY	
Your signature below affirms that you have reviewed this disclosure form and that it is true	, complete, and correct to the
best of your knowledge and belief. You also attest that your agency has implemented the	screen described above.
Signature of ethics officer	Date signed (month, day, year)
Commenter Carl	2/19/21
Printed full name of ethics officer	
James M French	

## Protocol to Screen IDEM Office of Water Quality's Section Chief Sara Pierson from any Matters Regarding Global Environmental Consulting (GEC)

Ms. Sara Pierson is employed by the Indiana Department of Environmental Management ("IDEM") as a Section Chief ("SC") within the Office of Water Quality ("OWQ"). Her current duties include managing the Drinking Water Compliance staff charged with implementing SDWA regulations, supporting the Drinking Water data, and acting as a liaison with EPA concerning SDWA regulations.

SC Pierson has applied for the position of Subject Matter Expert at Global Environmental Consulting ("GEC"). GEC is a software company that provides products and services for Drinking Water Primacy Agencies across the nation, including a field inspection tool (SWIFT) used by IDEM Drinking Water field inspectors. Duties for this position include providing technical support for software applications like SDWIS, CMDP, SDWIS Modernization, and interfacing applications. SC Pierson does not interact or have contact with GEC in her position as Section Chief. However, because of her oversight of a section in OWQ, IDEM will institute the following protocol to make sure SC Pierson is screened from any potential future conflict of interest.

- 1. Branch Chief ("BC") Matthew Prater is SC Pierson's immediate supervisor. BC Prater will not assign any current or future matter that may arise involving GEC to SC Pierson.
- 2. SC Pierson shall recuse herself from the portion of any meeting in which discussions specific to GEC or any of its clients or interests are discussed or are expected to be discussed.
- 3. SC Pierson shall not discuss any matter involving GEC or any of its clients with any other IDEM employee
- 4. BC Prater will notify in writing all staff supervised by SC Pierson that they are not to discuss any matters involving GEC or any of its clients with SC Pierson or send him any emails concerning GEC or its clients.
- 5. SC Pierson shall not participate in decisions regarding or relating to GEC or any of their clients.
- 6. SC Pierson shall not access any correspondence or files relating to or involving GEC or any of its. Such documents, if not a matter of public record in IDEM's Virtual File Cabinet, shall be housed with the employee assigned to the matter or kept where SC Pierson does not have access to alter the documents.
- 7. SC Pierson shall immediately inform her supervisor and IDEM Ethics Officer James French if any provision of this screening protocol is violated.
- 8. SC Pierson shall follow IC 4-2-6-9(b) and file a written disclosure statement with the State Ethics Commission.
- 9. All signatories to this screening protocol shall review it within thirty (30) days from the date of the last signature to assure it has been followed and determine if the circumstances have changed to make the protocol no longer necessary.

/s/ Sara Pierson	2/19/21
Sara Pierson Section Chief Office of Water Quality	Date
_/s/Matthew Prater Matthew Prater Branch Chief Office of Water Quality	<u>2/19/21</u> Date
/s/ James French James French Ethics Officer Office of Legal Counsel	

From:

Pierson, Sara

To:

PIGOTT, BRUNO

Subject:

Screening Protocol - GEC

Date: Attachments: Friday, February 19, 2021 1:11:00 PM <a href="mage002.png">image002.png</a>

## Bruno,

I was recently offered a position with GEC (Global Environmental Consulting). This role involves providing technical support for software applications used by primacy agencies across the country. OLC is working on a screening protocol currently. Before I knew that a waiver was required, I accepted the position with GEC and gave my notice to IDEM. I will be leaving March 19<sup>th</sup> if all goes well. I will recuse myself from any matters related to GEC until I leave IDEM. Please let me know if you have any questions or need additional information.

Thank you, Sara Pierson



Sara Pierson Compliance Section Chief Drinking Water Branch

(317) 234-7452 • spierson@idem.IN.gov

Indiana Department of Environmental Management 100 North Senate Avenue, MC 66-34, Indianapolis, IN 46204

IDEM values your feedback.
Please take two minutes and complete this brief survey.



Coping with COVID-

19:

• Indiana State Dept. of Health (ISDH) COVID-19 Call Center: Call 877-826-0011 (open 24/7)

• Anthem NurseLine: Call 800-337-4770 or visit the Anthem NurseLine online for a

FREE symptom screening. Available to anyone with an Anthem health plan (this includes State of IN employees)
• Anthem Employee Assistance Program (EAP): Available to ALL state employees and adults in household regardless of health plan participation. Call 800-223-7723 or visit anthemeap.com (enter State of Indiana) for crisis counseling, help finding child/elder care, legal/financial consultation and much more.